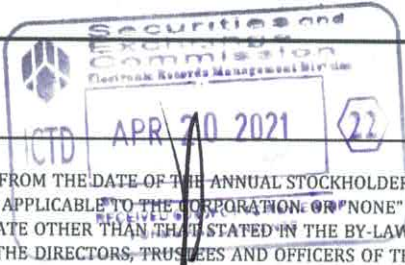


GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION



GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CARITAS HEALTH SHIELD, INC.		DATE REGISTERED: 1/12/1998	
BUSINESS/TRADE NAME: CARITAS HEALTH SHIELD, INC.		FISCAL YEAR END: December 31	
SEC REGISTRATION NUMBER: AS095-004019		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 004-628-891	
DATE OF ANNUAL MEETING PER BY-LAWS: EVERY FIRST SATURDAY OF MARCH		WEBSITE/URL ADDRESS: www.caritashealthshield.com.ph	
ACTUAL DATE OF ANNUAL MEETING: 6-Mar-19		E-MAIL ADDRESS: corsec@caritashealthshield.com.ph	
COMPLETE PRINCIPAL OFFICE ADDRESS: SUITE 307 GRACE BUILDING, ORTIGAS AVENUE, SAN JUAN, METRO MANILA		FAX NUMBER:	
COMPLETE BUSINESS ADDRESS: CARITAS CORPORATE CENTER, 97 E. RODRIGUEZ SR. AVENUE, QUEZON CITY			
OFFICIAL E-MAIL ADDRESS corsec@caritashealthshield.com.ph	ALTERNATE E-MAIL ADDRESS chsi@caritashealthshield.com.ph	OFFICIAL MOBILE NUMBER 0945.369.4357	ALTERNATE MOBILE NUMBER 0945.369.4135
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: REYES TACANDONG & CO. (CAROLINA P. ANGELES)		SEC ACCREDITATION NUMBER (if applicable): SEC ACCREDITATION NO. 86981-SEC GROUP A ISSUED 24 MARCH 2020	TELEPHONE NUMBER(S): (02) 8635-7138
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: HEALTH MAINTENANCE ORGANIZATION		INDUSTRY CLASSIFICATION: INSURANCE SECTOR	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
CARITAS FINANCIAL PLANS, INC.	AS0093-8290	3/F KATIPUNAN BLDG., 95 E. RODRIGUEZ SR. AVE., QC
CARITAS LIFE INSURANCE CORPORATION	CS200341233	CARITAS CORPORATE CENTER 97 E. RODRIGUEZ SR. AVE., QC

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. None of the above</p>
<p>8. Describe nature of business:</p>	

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		CARITAS HEALTH SHIELD, INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	300,000	100	30,000,000.00			
TOTAL		300,000	TOTAL P				
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	121	COMMON	288,938		100.00	28,893,800.00	96%
TOTAL			288,938	TOTAL	TOTAL P	28,893,800.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	5	COMMON	11,062		100.00	1,106,200.00	4%
Percentage of Foreign Equity : 3.69%			11,062	TOTAL	TOTAL P	1,106,200.00	
					TOTAL SUBSCRIBED P	30,000,000.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	121	COMMON	288,938	100.00	28,893,800.00	96%	
TOTAL			288,938	TOTAL P			
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	5	COMMON	11,062	100.00	1,106,200.00	4%	
3.69 %			11,062	TOTAL P			
					TOTAL PAID-UP P	30,000,000.00	
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

GENERAL INFORMATION SHEET

STOCK CORPORATION
PLEASE PRINT LEGIBLY

CORPORATE NAME:		CARITAS HEALTH SHIELD, INC.						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. JUANITO G. ARCILLA 6 Rhinestone St., Las Pinas Royal Estates, Pulang Luna, Las Pinas City	Filipino	N	M	M	Y	N/A	A/M	123-253-374
2. LOURDES ANN D. CO 18 F. Jose de Vera, Gilmore Townhomes, Quezon City	Filipino	N	M	F	Y	N/A	N/A	126-698-455
3. JAY D. CRISOSTOMO 225 J.P. Rizal St., Sta. Elena, Marikina City	Filipino	Y	M	M	Y	N/A	N/M I/M	132-946-740
4. RONNIE U. COLLADO 36 A. Mabuhay St., Diliman, Quezon City	Filipino	Y	M	M	Y	N/A	N/A	128-428-289
5. RAFE M. DIAZ B22 L22 SM Homes, Camarin Road, Novaliches, Quezon City	Filipino	N	M	F	Y	N/A	I/M	115-773-654
6. EDGAR C. DE ASIS 247 Bonifacio Ave., Marikina City	Filipino	N	M	M	Y	Treasurer	A/M R/M	107-974-172
7. ANA MARIA K. ESCALONA Unit 9-I, The Address at Wack-Wack, 567 Old Wack-Wack Road, Mandaluyong City	Filipino	N	M	F	Y	N/A	N/C	183-123-226
8. MARIANO T. KATIPUNAN, JR. 4 Bel-Air, One Roxas Triangle, Paseo de Roxas, Urdaneta, Makati City	Filipino	Y	M	M	Y	President & CEO	R/C I/C	132-144-207
9. GILDA C. LUCENA Unit H, Carmen Court, 6080 Palma St., Brgy. Poblacion, Makati City	Filipino	N	M	F	Y	Independent Director	A/M RPT/M	
10. RENE V. REYES 6 Juniper Place, Ferndale Homes, Sampaguita Ave., Quezon City	Filipino	N	M	M	Y	Chairman of the Board	A/M N/M R/M RPT/C	124-275-812
11. ELIZABETH C. UMALI 60 Mapagbigay St., Diliman, Quezon City	Filipino	N	M	F	Y	Independent Director	A/C RPT/M	112-116-797
12. JEFFREY K. YULDE 8 Homer St., Filinvest East, Cainta, Rizal	Filipino	N	M	M	Y	N/A	N/A	227-321-671
13. DOMINGO M. NAVARRO 22 London St., Capitol Homes Subd. Quezon City	Filipino	N	M	M	Y	N/A	N/A	106-193-464
14. CRESENCIO R. ABES New Manila, Quezon City	Filipino	N	M	M	Y	N/A	N/A	129-344-571
15. CHARLES B. ESCOLIN 129 B. Libra St., Sinco Hermanos, Marikina City	Filipino	N	M	M	Y	Corporate Secretary	N/A	131-282-578
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CARITAS HEALTH SHIELD, INC.

TOTAL NUMBER OF STOCKHOLDERS: 136 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHA** 117

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 MARIONETTE M. MARTINEZ*** Filipino c/o L & S Bldg., 1414 Roxas Blvd., Ermita, Manila (***SHARES CONTESTED BY GEOFFREY M. MARTINEZ)	Common	42,954	4,295,400.00	14.32%	4,295,400	
	TOTAL	42,954	4,295,400.00			
2. AMELIA O. VISAYA Filipino 40 Naples St., BF Homes, Las Pinas City	Common	20,000	2,000,000.00	6.67%	2,000,000	115-957-357
	TOTAL	20,000	2,000,000.00			
3. MA. THERESA L. BAUTISTA Filipino 1704 Pleasant Trail Euless, Texas, 76039	Common	13,323	1,332,400.00	4.44%	1,332,400	247-791-377
	TOTAL	13,323	1,332,400.00			
4. JAY D. CRISOSTOMO Filipino 225 J. P. Rizal St., Sta. Elena, Marikina City	Common	12,948	1,294,800.00	4.32%	1,294,800	132-946-740
	TOTAL	12,948	1,294,800.00			
5. RUBEN G. HENSON Filipino 66 McArthur Highway, Angeles City, Pampanga	Common	11,190	1,119,000.00	3.73%	1,119,000	113-212-681
	TOTAL	11,190	1,119,000.00			
6. RONNIE U. COLLADO Filipino 36 A. Mabuhay St., Diliman, Quezon City	Common	8,825	882,500.00	2.94%	882,500	128-428-289
	TOTAL	8,825	882,500.00			
7. MEGACENTER DIAGNOSTICS CORPORATION Filipino 4/F Katipunan Bldg., 95 E. Rodriguez Sr. Ave., Quezon City	Common	8,611	861,100.00	2.87%	861,100	003-821-430
	TOTAL	8,611	861,100.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				39.28%	11,785,200.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		CARITAS HEALTH SHIELD, INC.				
TOTAL NUMBER OF STOCKHOLDERS:			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. MARIANO T. KATIPUNAN, JR. Filipino 4 Bel-Air, One Roxas Triangle, Urdaneta, Makati City	Common	7,443	743,000.00	2.48%	743,000	132-144-207
	TOTAL					
9. HEART & LUNG DIAGNOSTIC CLINIC Filipino 12 Kalayaan Ave., Diliman, Quezon City	Common	7,316	731,600.00	2.44%	731,600	000-402-637
	TOTAL					
10. LITA ONG Filipino 1896 Ciudad del Mejia, Executive Village, Rosario, Pasig City	Common	5,000	500,000.00	1.67%	500,000	05243-C1452-A-9
	TOTAL					
11. RAFA M. DIAZ Filipino B22 L22 SM Homes, Camarin Road, Novaliches, Quezon City	Common	4,742	424,200.00	1.58%	424,200	115-773-654
	TOTAL					
12. SONIA GUTIERREZ Filipino	Common	4,242	424,200.00	1.41%	424,200	M6148-G-3059-A-3
	TOTAL					
13. EDNA M. SORIANO Filipino B5 L25 Chicago St., Town & Country Southville Subd., Binan City, Laguna	Common	4,242	424,200.00	1.41%	424,200	133-062-741
	TOTAL					
14. CAROLINA S. NATIVIDAD American	Common	4,242	424,200.00	1.41%	424,200	
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				12.41%	3,671,400.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

PLEASE PRINT LEGIBLY

STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15. REGIDOR L. TENG Filipino 20 Grace St., Remmanville Subd., Paranaque City	Common	4,242	424,200.00	1.41%	424,200	110-174-416
	TOTAL	4,242	424,200.00			
16. LOURDES ANN D. CO Filipino 18 F. Jose de Vera, Gilmore Townhomes, Quezon City	Common	4,130	413,000.00	1.38%	413,000	126-698-455
	TOTAL	4,130	413,000.00			
17. DANILO S. KUIZON Filipino 14 Times Street, West Triangle, Quezon City	Common	3,841	384,100.00	1.28%	384,100	111-155-561
	TOTAL	3,841	384,100.00			
18. AVENILO P. AVENTURA, JR. Filipino 36 A. Matapat St., Diliman, Quezon City	Common	2,880	288,000.00	0.96%	288,000	207-137-464
	TOTAL	2,880	288,000.00			
19. MARICHELE A. ISIDRO Filipino 36 A. Matapat St., Diliman, Quezon City	Common	2,880	288,000.00	0.96%	288,000	140-469-846
	TOTAL	2,880	288,000.00			
20. CAROLINE MARIE L. AVENTURA Filipino 36 A. Matapat St., Diliman, Quezon City	Common	2,880	288,000.00	0.96%	288,000	168-587-721
	TOTAL	2,880	288,000.00			
21. OTHERS (Indicate the number of the remaining stockholders)		94,317	9,431,700.00		9,431,700	
	TOTAL	94,317	9,431,700.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				6.95%	11,517,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					11,517,000.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: CARITAS HEALTH SHIELD, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N/A	N/A	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	N/A	N/A	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	N/A	N/A	
1.5 OTHERS	N/A	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
N/A	N/A		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A	N/A	
5.2 STOCK	N/A	N/A	
5.3 PROPERTY	N/A	N/A	
TOTAL	N/A	N/A	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
N/A	N/A	N/A	
N/A	N/A	N/A	
N/A	N/A	N/A	
N/A	N/A	N/A	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.			
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
3,619,333.00	26	656	682

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **CHARLES B. ESCOLIN**, Corporate Secretary of **CARITAS HEALTH SHIELD, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.


I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this _____ day of _____, 20____ in **QUEZON CITY**.


CHARLES B. ESCOLIN
(Signature over printed name)

QUEZON CITY APR 15 2021
SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of PHILIPPINE PASSPORT NO. P8756595A issued at DFA NCR EAST on 15 September 2018 and valid until 15 September 2028.


HIYAS M. FRANCISCO
Notary Public for Quezon City
Commission No. NP - 064 (2020 - 2021)
Mezzanine, Katipunan Building
No. 95 E. Rodriguez Sr. Ave. Quezon City
Roll No. 54682
MCLE Compliance No. VI - 0000084 / June 3, 2016
2021 IBP No. 143724 / Laguna
2021 PTR No. 0886679 / Quezon City

loc 991
MC 95
Book 6
2021

BENEFICIAL OWNERSHIP DECLARATION
FOR THE YEAR: 2020

SEC REGISTRATION NUMBER:
 CORPORATE NAME:

- Instructions:**
1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
 2. Fill in the required information on the beneficial owner in the fields provided for.
 3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
 4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
 5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

- | <u>Category</u> | <u>Description</u> |
|-----------------|---|
| A | Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation. |
| B | Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity. |
| C | Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation. |
| D | Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation. |
| E | Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes. |
| F | Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s). |
| G | Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons. |
| H | Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories. |
| I | Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion. |

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
N/A							

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.
² For Non-Stock Corporations.
³ For Stock Corporations.